

Electronic Acknowledgement Receipt

EFS ID:	8711007
Application Number:	10694872
International Application Number:	
Confirmation Number:	4103
Title of Invention:	managing the execution of trades between market makers
First Named Inventor/Applicant Name:	Nigel J. Renton
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	03-6171
Receipt Date:	27-OCT-2010
Filing Date:	28-OCT-2003
Time Stamp:	12:15:32
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	03-6171_101026_IDS.pdf	25797 3e165d978b36fc7fae043e88acfe79bce464039	no	2

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	03-6171_101026_1449.pdf	25918	no	1
			4f34322ac368fb0d8749b4fa31cad7db978ce3e		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	PCT- US04-35158_ISR_05-01-2006. pdf	231673	no	5
			7160dc217579ba2920f272f119eac4672ba89637		
Warnings:					
Information:					
4	NPL Documents	PCT- US04-35158_IPER_06-12-2006. pdf	178758	no	4
			c47e4d12f95228106f413b4206090d14de375b9a		
Warnings:					
Information:					
5	NPL Documents	PCT- US05-25929_IPER_01-23-2007. pdf	178788	no	5
			13edbe2ec977b95819c7998b473f8181049dc412		
Warnings:					
Information:					
6	NPL Documents	AU_2005267056_OA_04-01-2010.pdf	184667	no	3
			3a287a9d39e34cc29e963085b26012a3edbbeb14		
Warnings:					
Information:					
7	NPL Documents	EP_05775432-7_Search_Report_03-23-2009.pdf	204173	no	6
			4f04bcdd7edfb8b594d4e9812224e223ba086c403		
Warnings:					
Information:					
8	NPL Documents	EP_05775432-7_Summons_02-22-2010.pdf	275709	no	8
			add45af7acfe53130b25d2b41ea00539aabb4937		
Warnings:					
Information:					
9	NPL Documents	EP_05775432-7_Brief_Comm_10-11-2010.pdf	47644	no	2
			d4fb8a888bbf9f52e78d1bf4c092c4064623b004		
Warnings:					
Information:					
10	NPL Documents	EP_05775432-7_Decision_Refuse_10-11-2010.pdf	37906	no	2
			4104d01ef01bb66747b63fec58b8da3240035392		

Warnings:					
Information:					
11	NPL Documents	JP_2006-538134_OA_03-02-2010.pdf	514248 f1f24d534074a2f79df6ebbd9622cdb67a6b0973	no	20
Warnings:					
Information:					
Total Files Size (in bytes):			1905281		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					